

## **GENERAL INFORMATION RELATING TO MATADOR PRIME**

Matador Prime LLC is an investment firm incorporated under the laws of the Republic of Bulgaria with the registered address of Sofia 1421, 30 Sveti Naum Blvd. The website of Matador Prime LLC is: [www.matadorprime.eu](http://www.matadorprime.eu)

Matador Prime LLC holds a license for the provision of investment services and activities issued by the Bulgarian Financial Supervision Commission, whose registered address is at Sofia 1000, 16 Budapeshta str. (website: [www.fsc.bg](http://www.fsc.bg)).

### **I. INVESTOR COMPENSATION SYSTEM.**

Bulgarian Investor Compensation Fund (website: [www.sfund-bg.com](http://www.sfund-bg.com)) pays compensation to each client (excluding the persons or in the cases listed below) of the investment firm to the amount of up to 90% of the total receivables but no more than the maximum defined by law as at the respective moment. The Investors Compensation Fund pays compensations to the clients of the investment firm to the amounts stated in the previous sentence when:

- (a) the Sofia city court has opened insolvency procedure for the investment firm, including cases when the insolvency procedure has been ceased under art. 632 of the Commercial Act;
- (b) the license of the investment firm has been revoked, and respectively the permit for investment firm operations, with a decision of the respective institution.

The decision for compensation of the Investors Compensation Fund is published at least in two daily newspapers and on its website and during the period for compensation claims from the clients of the investment firm as well as the bank which will facilitate the compensation payments.

The client is notified that apart from the above stated there are no other compensation schemes for the losses of investments in financial instruments. The Investors Compensation Fund does not pay compensations:

- (a) to the following persons:
  1. Members of the management and supervisory board of the investment firm as well as to its procurers;
  2. Persons which own directly or through connected persons 5% or more than 5% of the votes in the general meeting of the investment firm or can control it as well as to the persons belonging to the same group, which controls the investment firm and consolidated its reports.
  3. The registered auditor which audited the annual report of the investment firm;
  4. spouse, relatives - direct line of descent without limitation, collateral line of descent of first and second degree in-laws of first and second degree to the parties under item 1, 2 and 3;
  5. Investment firm;
  6. Credit institutions;

7. Insurers;
8. Pension and social insurance funds;
9. Collective investment schemes, national investment funds, alternative investment funds, managed by alternative investment fund managers, and special investment purpose companies;
10. The state and state institutions;
11. Municipalities;
12. The Investors Compensation Fund, Deposit Insurance Fund and the Guarantee funds under art. 287 of the Insurance code;
13. Investors which benefited from events connected with the investment firm and damaged its financial stability, as well as to investors which contributed to the latter;
14. other professional and institutional investors;

and,

- (b) in the following cases: compensation for receivables originating from and/or connected with transactions and actions qualified as money laundry pursuant to art. 2 of the Law on Measures Against Money Laundering if the sentence of the convicted has entered into force.

Detailed information about the terms and conditions for compensation as well as information about the respective procedure is available upon client's request.

## **II. GENERAL DESCRIPTION OF THE CONFLICTS OF INTEREST POLICY.**

Matador Prime shall continuously monitor for any conflicts that may arise between:

- (a) the interests of its clients;
- (b) the clients' interests and the interests of the investment firm;
- (c) the clients' interests and the interests of employees who work under a contract for the investment firm.

In order to avoid the conflicts above and in case such are unavoidable - to ensure equal treatment of clients in accordance with the legislation in force, the investment firm shall comply with the following rules while conducting its business activities:

- priority of client's interest over these of the investment firm;
- maximum disclosure of information to the client regarding the financial instruments which are subject of an order, including: their cost and value, information about any obligations arising from the transaction, including the types of costs and their amount, rights entitled by the financial instruments, the risks associated with the transaction or the service (without offering advice unless such are explicitly requested), and information about the potential conflicts of interest with another client, provided that this is not detrimental to the interests of the other client and the obligation of the investment firm to keep the trade secrets of its clients;

- provision of equal and fair treatment of the clients by acting to their best interest and within the best conditions for the latter; the investment firm avoids situations whereupon the interest of its client would enter into conflict with its obligation to another client;
- ensuring confidentiality; all employees are obliged to keep secret regarding any facts and circumstances affecting the balances and transactions in the financial instruments accounts and funds held for clients, or any other facts and circumstances constituting a trade secret, which have become known to them during the performance of their duties and these employees and must not use this information to their own benefit or to the benefit of other persons;
- compliance with the principle of the so-called "Chinese wall" - information barrier between departments and sectors (or staff performing separate activities within a sector);
- complies with the strict confidentiality as regards transactions with potential for conflict to avoidance of real conflict and the risk from executing transactions based on inside information;
- strict compliance with the rules for separation of clients' financial instruments portfolios.

In case of any conflict of interests the investment, firm shall inform the client that may be affected by the nature of the conflict and the possible outcome, so that the client can assess whether to use the specific service of the investment firm.

Detailed information about the Conflicts of Interest Policy is available upon client's request.

### **III. GENERAL INFORMATION ON PORTFOLIO MANAGEMENT SERVICE.**

The portfolio management goal is to realize profit on the investment by taking risk. There is a high degree of risk associated with trading in foreign exchange and CFDs, and any such investment should be made only after careful consideration of the risks associated with such transactions. No person should consider trading more than they can comfortably afford to lose. There is no assurance that Matador Prime's investments will be successful or that trading objectives will be attained.

Method and periodicity of assessing the financial instruments in the client's portfolio: the client will have online access to the trading account through the Metatrader 4 platform, thus the client will have real time information about the portfolio.

Characteristics and information on each benchmark by which the portfolio management results may be assessed: the benchmark for the portfolio is 0.6% in monthly Net Asset Value (N.A.V.) increase, counted on average periods of six months, the performance will be calculated the last day of the month at 23:59 minutes, and can be seen by the client in through the online trading platform provided by Matador Prime.

Matador Prime may use other benchmarks that the investment firm considers appropriate for a specific portfolio for which the client will be duly notified.



The types of financial instruments which may be included in the client's portfolio and the types of transactions: spot foreign exchange; swaps; foreign currency forward contracts; contracts for differences. The complete list of financial instruments and transactions can be found in the Client Asset Management agreement.

#### **IV. OTHER INFORMATION.**

Matador Prime notifies the Client that Matador Prime may pay remuneration or commission to a third party with a view to enhancing the quality of the relevant service to the client which shall not impair compliance with the Matador Prime's duty to act in the best interests of the client. The client may ask Matador Prime for additional information to this regard.